



AMERICAN SOCIETY OF CLINICAL ONCOLOGY

Value Framework Net Health Benefit Worksheet:

Adjuvant Setting

## **About This Worksheet**

The American Society of Clinical Oncology (ASCO) Value Framework assesses the relative value of cancer therapies by calculating Net Health Benefit scores (NHBs) using measures of clinical benefits and toxicities, as demonstrated in randomized clinical trials.

ASCO has developed this “Value Framework Net Health Benefit Score Worksheet: Adjuvant Setting,” to provide step-by-step guidance for reliably calculating NHBs. ASCO acknowledges that trial interpretation is complex and that the accurate use of the framework requires a thorough understanding of its design and purpose. To assist users, the worksheet is heavily footnoted with guidance on resolving situations that may occur at extreme parameters within the framework and provides worked examples of calculations. The “Notes” field at the bottom of each page is intended to help users keep important information at-a-glance to assist with performing the framework’s calculations.

This worksheet is divided into the following sections:

- Study Information (p. 2)
- Step 1 - Clinical Benefit Scoring (p. 3)
- Step 2 - Toxicity Scoring (p. 7)
- Step 3 - Tail of the Curve Bonus Points (p. 9)
- Step 4 - Net Health Benefit (p. 10)

You may contact ASCO regarding this worksheet at [valueframework@asco.org](mailto:valueframework@asco.org).

More information on ASCO’s efforts to achieve high-quality, high-value care for all patients with cancer is available at [www.asco.org/value](http://www.asco.org/value).

**Study Information**

Name of the Study <sup>A</sup>	
Published references used <sup>B</sup>	
Experimental/New Treatment <sup>C</sup>	
Standard/Control Treatment <sup>D</sup>	
Relevant Population/Indication	

<sup>A</sup> Typically a trial acronym (e.g. AFFIRM trial) or the first author and year of the most important trial publication (e.g. Scher 2012).

<sup>B</sup> There may be more than one relevant reference for the NHB calculation. For example, a later publication may provide quality of life data for which a QoL bonus may be given, or a later publication may provide more detailed toxicity data or overall survival data. List all publications that were used in calculating the NHB.

<sup>C</sup> The term “experimental/new treatment” is used for consistency throughout the NHB worksheet to refer to the treatment whose net health benefit is of interest. It may be neither experimental or new in context.

<sup>D</sup> The term “standard/control treatment” is used for consistency throughout the NHB worksheet to refer to the reference treatment against which the treatment of interest’s health benefits are being compared. It may not be a standard treatment in context, and it may not be a treatment at all, per se (i.e. placebo, “usual care”, “surveillance”).

**Notes**

**Step 1 – Clinical Benefit Scoring**

Answer each question in Step 1 and follow the instructions depending on the answer. <sup>E</sup>

**1. A. Is a hazard ratio (HR) for overall survival reported AND was it statistically significant?**

- If YES, continue with this section below the line.
  - If NO, go to 1. B. NO means EITHER there was no HR of overall survival reported OR one was reported but it was not statistically significant.
- 

Enter the Hazard Ratio for overall survival in box 1 (e.g. 0.84)<sup>F</sup>:

BOX 1	Subtract the value in Box 1 from 1 and enter it in Box 2 (e.g. $1 - 0.84 = 0.16$ ).
BOX 2	Multiply the value in Box 2 by 100 and enter it in the box labelled "HR Score (Death)" (e.g. $0.16 \times 100 = 16$ )
HR Score (Death)	Copy this value to Step 4 into the box labeled "Clinical Benefit Score". Continue with the instructions in Step 2. Skip 1.B., 1.C., and 1.D.

<sup>E</sup> While the JCO article upon which this worksheet is based makes reference to potential use of objective response rate as a clinical benefit outcome, in practice using this outcome in non-comparative studies is impossible, as toxicity scores can not be calculated for single-arm studies. Therefore, the use of objective response rate when it is the ONLY outcome reported is not covered by the worksheet.

<sup>F</sup> The hazard ratio should be in such a form that a value less than 1 shows benefit for the experimental/new treatment for which a net health benefit is being calculated. If it is not in this form (e.g. a value greater than 1 shows benefit) put the reciprocal of the hazard ratio in box 1 (i.e.  $\text{Box 1} = 1/\text{HR}$ ).

**Notes**

**1. B. Is the median overall survival (OS) reported for both arms of the trial AND is there a reported statistically significant difference<sup>g</sup> between these values?**

- If YES, continue with this section below the line.
- IF NO, go to 1.C. NO means EITHER the median overall survival is not reported for one or both arms OR there is no reported statistically significant difference in median overall survival between the arms.

Enter the median overall survival for the experimental/new arm in the box labelled “Median New” and the value for the control/standard arm in the box labelled “Median Control” (e.g. Median New = 8.1 months, Median Control = 6.3 months)<sup>h</sup>.

Median New	Median Control	Subtract Median Control from Median New and enter the value in Box 1 (e.g. $8.1 - 6.3 = 1.8$ )
BOX 1		Divide Box 1 by Median Control, and enter the value in Box 2 (e.g. $1.8 / 6.3 = 0.286$ )
BOX 2		Multiply Box 2 by 100 and enter the value into the box labelled “OS Score”. (e.g. $0.286 \times 100 = 28.6$ )
OS Score		Copy this value to Step 4 into the box labeled “Clinical Benefit Score”. Continue with the instructions in Step 2. Skip 1.C. and 1.D.

<sup>g</sup> A statistically significant difference will likely be reported as either a log-rank p-value or as an increase in overall survival with a confidence interval that does not include no difference (e.g. improved survival of 3 months (95% CI 1-6 months)). If a statistically significant HR for overall survival is reported, go back to section 1.A.

<sup>h</sup> Ensure that the two values are in the same units (i.e. both months, both days).

**Notes**

**1. C. Is a hazard ratio (HR) for disease-free survival<sup>1</sup> reported AND was it statistically significant?**

- If YES, continue with this section below the line.
  - If NO, go to 1. D. NO means EITHER there was no HR for disease-free survival reported OR one was reported but it was not statistically significant.
- 

Enter the Hazard Ratio for disease-free survival in box 1 (e.g. 0.84)<sup>1</sup>:

BOX 1	Subtract the value in Box 1 from 1 and enter it in Box 2 (e.g. $1 - 0.84 = 0.16$ ).
BOX 2	Multiply the value in Box 2 by 100 and enter it in the box labelled "HR Score (DFS)" (e.g. $0.16 \times 100 = 16$ )
HR Score (DFS)	Copy this value to Step 4 into the box labeled "Clinical Benefit Score". Continue with the instructions in Step 2. Skip 1.D.

<sup>1</sup> This hazard ratio may be reported as event-free survival; the exact definition, but the event definitions should be reviewed to ensure that the outcome is measuring disease-free status. However, do not use: time to recurrence, time to disease-related death, or other measure that does not incorporate death from all causes as part of its definition. If a statistically significant hazard ratio for overall survival or statistically significant difference in median overall survival are reported, go back to section 1.A. and/or 1.B.

<sup>2</sup> The hazard ratio should be in such a form that a value less than 1 shows benefit for the experimental/new treatment for which a net health benefit is being calculated. If it is not in this form (e.g. a value greater than 1 shows benefit) put the reciprocal of the hazard ratio in box 1 (i.e.  $\text{Box 1} = 1/\text{HR}$ ).

**Notes**

**1. D. Is the median disease-free survival reported for both arms of the trial AND is there a reported statistically significant difference <sup>K</sup>between these values?**

- If YES, continue with this section below the line.
- IF NO, then a Net Health Benefit cannot be calculated from this trial. NO means EITHER the median disease-free survival is not reported for one or both arms OR there is no reported statistically significant difference in median disease-free survival between the arms.

Enter the median disease-free survival for the experimental/new arm in the box labelled “Median New” and the value for the control/standard arm in the box labelled “Median Control” (e.g. Median New = 8.1 months, Median Control = 6.3 months) <sup>L</sup>.

Median New	Median Control	Subtract Median Control from Median New and enter the value in Box 1 (e.g. 8.1-6.3 = 1.8)
BOX 1		Divide Box 1 by Median Control, and enter the value in Box 2 (e.g. 1.8/6.3 = 0.286)
BOX 2		Multiply Box 2 by 100 and enter the value into the box labelled “DFS Score”. (e.g. 0.286 x 100 = 28.6)
DFS Score		Copy this value to Step 4 into the box labeled “Clinical Benefit Score”. Continue with the instructions in Step 2.

<sup>K</sup> A statistically significant difference will likely be reported as either a log-rank p-value or as an increase in disease-free survival with a confidence interval that does not include no difference (e.g. improved survival of 3 months (95% CI 1-6 months). Disease-free survival may be reported as event-free survival., but the event definitions should be reviewed to ensure that the outcome is measuring disease-free status. Do not use median time to recurrence, median time to cancer-related death, or similar measures that do not incorporate death from all causes as part of the definition. If a statistically significant HR for overall survival, median overall survival difference, or HR for disease-free survival is reported, go back to section 1.A., section 1.B. and/or section 1.C.

<sup>L</sup> Ensure that the two values are in the same units (i.e. both months, both days).

**Notes**

**Step 2 – Toxicity Scoring**

2. A. For each toxicity that was reported that is considered clinically relevant<sup>M</sup>, determine the percentage of patients in each arm that experienced a Grade 1 or 2 toxicity (G1-2) and the percentage of patients that experienced a Grade 3 or 4 toxicity (G3-4) of that type.<sup>N</sup>

*TABLE 1 – Experimental/New Treatment Toxicity*

	Grade 1 and 2 percentage ≥10%	Grade 1 and 2 percentage >0% and <10%	Grade 3 and 4 percentage ≥5%	Grade 3 and 4 percentage >0% and <5%	
Toxicities (list out)					Total Experimental/New (Sum of Totals by Category)
Number of Toxicities					
Weight	1	0.5	2	1.5	
Total by Category (Number * Weight)					

*TABLE 2 – Standard/Control Treatment Toxicity*

	Grade 1 and 2 percentage ≥10%	Grade 1 and 2 percentage >0% and <10%	Grade 3 and 4 percentage ≥5%	Grade 3 and 4 percentage >0% and <5%	
Toxicities (list out)					Total Standard/Control (Sum of Totals by Category)
Number of Toxicities					
Weight	1	0.5	2	1.5	
Total by Category (Number * Weight)					

2.B. Continue below.

<sup>M</sup> For this purpose, a clinically relevant toxicity is a toxicity that is experienced by the patient, as opposed to a laboratory value, physiological measurement, or similar.

<sup>N</sup> These percentages may need to be calculated by the rater from the published information. For example, if the percentage of patients that experienced a G3-4 toxicity and the percentage that experienced toxicity of any grade are reported, the G1-2 toxicity may be calculated as the difference between those values (assuming no Grade 5 toxicity). Or, if toxicity is reported by Grade, the sum of the Grade 1 and 2 percentages is the G1-2 toxicity percentage, and the sum of the Grade 3 and 4 percentages is the G3-4 toxicity. However, if toxicities are only reported in aggregate form, or if only a limited number of toxicities are reported separately, calculating a toxicity score, and therefore the overall net health benefit score, may not be possible.

TOXIC NEW	TOXIC CONTROL	Divide TOXIC NEW by TOXIC CONTROL (e.g. $26.5/20 = 1.325$ ) and write the result in Box 1
Box 1		Subtract Box 1 from 1, and write the value in Box 2. This value will be negative if the toxicity on the experimental/new arm was generally worse. (e.g. $1 - 1.325 = -0.325$ )
Box 2		Multiply the value in box 2 by 20 and enter the value into Box 3. (e.g. $20 * -0.325 = -6.5$ )
Box 3		If there are unresolved symptomatic treatment-related toxicities at 1 year after completion of treatment only on the experimental/new arm and not on the standard/control arm, subtract 5 from Box 3 and enter the result in Box 4. Otherwise, copy the value from Box 3 into Box 4. <sup>o</sup>
Box 4		If Box 4 is greater than 20 or less than -20 then put "20" or "-20" into the box labelled "Toxicity Score". Otherwise, copy the value from Box 4 into "Toxicity Score".
Toxicity Score		Copy this value to the box labelled "Toxicity Score" in Step 4. This value should be between -20 and 20, and negative if the toxicity was generally worse on the experimental/new treatment.

<sup>o</sup> In what is expected to be the rare instance where there are unresolved treatment-related toxicities only on the standard/control arm at one year, 5 points may be added at this step.

**Notes**

### **Step 3 – Tail of the Curve Bonus Points**

If no Kaplan-Meier curves are reported for overall or disease-free survival, go on to Step 4. No bonus points can be awarded.

Record the median overall survival, or the median disease-free survival if overall survival is not reported, for the standard/control arm in Box 1. (e.g. 12.3 months)

Box 1	Multiply the value in Box 1 by 2 and record in Box 2. (e.g. 12.3 months * 2 = 24.6 months)
Box 2	Locate the value in Box 2 on the time axis of the published Kaplan-Meier curve of overall (or disease-free, if appropriate) survival. <sup>P</sup> Determine the OS or DFS at this time point for the standard/control arm and enter in Box 3. If this value is less than or equal to 20%, stop the calculation; no Tail of the Curve Bonus should be awarded.
Box 3	Determine the OS or DFS for the experimental/new arm at the same time point and enter in Box 4.
Box 4	If Box 3 is $\geq 1.5$ times the value of Box 4, then enter 20 in the box labelled “Tail of Curve Bonus”.
Tail of Curve Bonus	Copy this value to the box labelled “Tail of Curve Bonus” in Step 4.

<sup>P</sup> This process should be done either using paper and ruler or in imaging editing software unless the tail of the curve bonus is self-evidently applicable. See the worked examples.

#### **Notes**

**Step 4 – Net Health Benefit**

Clinical Benefit Score	The Clinical Benefit Score was calculated in steps 1.A., 1.B., 1.C., 1.D. or 1.E.
Toxicity Score	The Toxicity score was calculated in step 2. It will be negative if the experimental/new treatment is generally more toxic than the standard/control treatment and will be positive if it is generally less toxic.
Tail of the Curve Bonus	The Bonus points were calculated in step 3.

Add the Clinical Benefit Score, Toxicity Score, and Bonus Points together and put the result into the box labelled “Net Health Benefit”

Net Health Benefit	This is the Net Health Benefit (NHB) of the experimental/new treatment compared to the standard/control treatment.
--------------------	--

NOTE: The ASCO NHB Score Advanced Worksheet has a worked example that will be useful in understanding how the worksheet can be used.

**Notes**

© 2019 American Society of Clinical Oncology (ASCO). All Rights Reserved Worldwide.  
For more information, visit [asco.org/value](https://asco.org/value).